

## Podoconiosis, trachomatous trichiasis and cataract in northern Ethiopia: a comparative cross-sectional study

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1 **Podoconiosis, trachomatous trichiasis and cataract in northern Ethiopia: a**  
2 **comparative cross sectional study**

3

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21

## 22 **Abstract**

### 23 *Background*

24 Rural populations in low-income countries commonly suffer from the co-morbidity of  
25 neglected tropical diseases (NTDs). Podoconiosis, trachomatous trichiasis (both NTDs) and  
26 cataract are common causes of morbidity among subsistence farmers in the highlands of  
27 northern Ethiopia. We explored whether podoconiosis was associated with cataract or  
28 trachomatous trichiasis (TT) among this population.

29

### 30 *Methods*

31 A comparative cross-sectional study was conducted in East Gojam region, Amhara, Ethiopia  
32 in May 2016. Data were collected from patients previously identified as having  
33 podoconiosis and from matched healthy neighbourhood controls. Information on socio-  
34 demographic factors, clinical factors and past medical history were collected by an  
35 interview-administered questionnaire. Clinical examination involved grading of  
36 podoconiosis by examination of both legs, measurement of visual acuity, direct  
37 ophthalmoscopy of dilated pupils to grade cataract, and eyelid and corneal examination to  
38 grade trachoma. Multiple logistic regression was conducted to estimate independent  
39 association and correlates of podoconiosis, TT and cataract.

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### 42 *Findings*

43 A total of 700 participants were included in this study; 350 podoconiosis patients and 350  
44 healthy neighbourhood controls. The prevalence of TT was higher among podoconiosis  
45 patients than controls (65 (18.6%) vs 43 (12.3%)) with an adjusted odds ratio (OR) 1.55  
46 (95% Confidence Interval (CI) 1.12 - 2.11),  $p=0.05$ . There was no significant difference in

47 prevalence of cataract between the two populations with an adjusted OR 0.83 (95% CI 0.55-  
48 1.38),  $p=0.37$ . Mean best visual acuity was 0.59 (SD +/- 0.06) in podoconiosis cases  
49 compared to 0.44 (SD +/- 0.04) in controls,  $p<0.001$ . The proportion of patients classified  
50 as blind was higher in podoconiosis cases compared with healthy controls; 5.6% vs 2.0%;  
51 adjusted OR 2.63 (1.08-6.39),  $P=0.03$ .

52

### 53 *Conclusions*

54 Individuals with podoconiosis have a higher burden of TT and worse visual acuity than  
55 their matched healthy neighbourhood controls. Further research into the environmental  
56 and biological reasons for this co-morbidity is required. A shared approach to managing  
57 these two NTDs within the same population could be beneficial.

58

### 59 **Author Summary**

60 Podoconiosis is an NTD causing chronic leg swelling in subsistence farming communities  
61 in the tropics. There is no research on the association between podoconiosis and two  
62 common causes of blindness and visual impairment; trachomatous trichiasis (TT) and  
63 cataract. TT is the blinding consequence of conjunctival scarring in trachoma, the leading  
64 infectious cause of blindness globally. Cataract is an age-related disease of the lens and  
65 remains the leading cause of visual impairment worldwide. Both podoconiosis and TT are  
66 NTDs endemic to Ethiopia and promote poverty through many factors such as disability,  
67 reduced economic productivity and stigma. This comparative cross-sectional study  
68 explored the association between podoconiosis and these two eye diseases. We found that  
69 podoconiosis patients were burdened with higher levels of blindness and low vision, had  
70 higher prevalence of TT and more severe cataract than their matched neighbourhood  
71 controls. These findings can help to direct an integrated approach to managing these two

72 NTDs (podoconiosis and TT) and trigger further research in to the wider context of the  
73 double burden of eye disease and NTDs.

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86 **Background**

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88 Neglected tropical diseases (NTDs) do not occur in isolation but have substantial  
89 geographical overlap. This results in an increased burden of co-morbidity within a  
90 population, commonly leading to individuals suffering from one or more NTDs.<sup>1</sup> These  
91 conditions share common risk factors including lack of access to clean water, sanitation and  
92 hygiene practices.<sup>2</sup> We describe here a study in which we aimed to explore the association  
93 between the NTD podoconiosis and two common eye diseases; one an NTD (trachomatous  
94 trichiasis) and another a common age-related disabling eye disease (cataract), within a  
95 rural population in northern Ethiopia.

96

97 Podoconiosis is a non-filarial elephantiasis that predominantly affects subsistent farmers  
98 in areas of red clay soil covered highlands of tropical Africa, Northern India and South and  
99 Central America <sup>3,4,5,6</sup>. It causes painful swelling and deformity of the lower legs with acute,  
100 painful inflammatory events known as acute adenolymphangioadenitis (ALA).<sup>7</sup> Although

101 the aetiology is not fully understood, current evidence suggests it occurs as a result of both  
102 a genetic susceptibility and exposure to irritant mineral particles in volcanic soils.<sup>7,8,9,10</sup> The  
103 disease carries a high socio-economic burden and is highly stigmatizing.<sup>11,12,13</sup> Nationwide  
104 mapping in 2015 found podoconiosis to be endemic in 345 districts in Ethiopia with a  
105 prevalence of 4%.<sup>14</sup> East Gojam zone in Amhara region, where this study took place, has a  
106 podoconiosis prevalence of 3.3%.<sup>15</sup> The recommended management of podoconiosis is  
107 inexpensive and simple involving foot hygiene, emollient, bandaging, exercise and wearing  
108 socks and shoes.<sup>16</sup>

109

110 Anecdotally clinicians and researchers working with populations affected by podoconiosis  
111 report a high prevalence of cataract and trachomatous trichiasis (TT) among affected  
112 individuals.

113

114 The 2006 National Blindness Survey of Ethiopia found the prevalence of blindness and low  
115 vision in Amhara to be 1.4% and 4.9%, respectively.<sup>19</sup> Nationwide, cataract and  
116 trachomatous corneal opacity were found to be the leading causes of blindness with  
117 cataract accounting for 49.9% and trachomatous corneal opacity 11.5%.<sup>19</sup>

118

119 Cataract is a clouding of the lens, which results in decreased vision. The leading cause is  
120 age. Cataract develops at a younger age in tropical and poor countries. The precise reasons  
121 for this are unclear but it is likely to be due to a combination of factors including episodes  
122 of dehydration in early life, diet, and solar and heat radiation.

123

124 Trachoma is the leading infectious cause of blindness worldwide.<sup>17</sup> The disease starts in  
125 childhood with recurrent infection of the tarsal conjunctiva by *Chlamydia trachomatis*

126 producing chronic inflammation. This leads to tarsal scarring followed by entropion  
127 (inward rotation of the eyelid) and trichiasis, a painful condition where eyelashes rub on  
128 the cornea causing corneal scarring.

129

130 Ethiopia is one of the most trachoma-affected countries in the world; in 2016 nearly 50%  
131 of people at risk of trachoma globally live in Ethiopia, Malawi and Nigeria.<sup>20</sup> The number of  
132 people with TT awaiting surgery in 2016 is 693,000, again the largest in the world.<sup>20</sup> The  
133 2006 survey found Amhara Regional State bears an estimated 45% of the national trichiasis  
134 burden with approximately one in twenty of all adults suffering from the condition. Since  
135 2001 TT surgery has been provided by the Amhara Regional Trachoma Control Programme  
136 with health workers throughout Amhara Region trained to perform TT surgery, including  
137 40 in East Gojam Zone.

138 Ethiopia carries a high burden of Neglected Tropical Diseases, and is estimated to have the  
139 highest burden of podoconiosis and trachoma in Sub-Saharan Africa.<sup>21</sup> Both podoconiosis  
140 and trachoma are part of the Ethiopian Government's National Neglected Tropical Disease  
141 (NTD) Master Plan.<sup>22</sup> Launched in 2013, the NTD Master Plan pledged to achieve WHO NTD  
142 elimination and control targets by 2020. Evidence of the presence of the double burden of  
143 podoconiosis and TT will facilitate decisions on the integration of policy and treatment  
144 programmes for these two NTDs.

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## 151 **Methods.**

### 152 **Study setting and design**

153 A comparative cross-sectional study was carried out in East Gojam Zone, Amhara Region,  
154 Ethiopia during four weeks in May 2016. Amhara is one of 9 regions and 2 city  
155 administrations of Ethiopia and is divided into 10 zones. East Gojam zone is divided into  
156 140 *woredas* (equivalent of districts), which are divided into *kebeles* (the lowest  
157 governmental administrative unit). Within East Gojam Zone the *Woreda* Enarj Enawga was  
158 selected for this study as it was known to have a high burden of podoconiosis and access to  
159 recent complete data from the Podoconiosis Burden Assessment 2015.<sup>23</sup> Enarj Enawga has  
160 a population of 167,402, of which 92% are rural inhabitants.<sup>24</sup> A non-random convenience  
161 sampling method was used to select 12 *kebeles* within Enarj Enwarga based on  
162 geographical location and accessibility.

163

### 164 **Sample size**

165 Assuming that the prevalence of cataract and TT in the population is 8% and 5%  
166 respectively, 336 participants were needed in each group for 80% power and 5% level of  
167 precision.<sup>19</sup>

168

### 169 **Study Population and sampling procedure**

170 Cases were located using the Podoconiosis Burden Assessment 2015 which provided  
171 participant name, household name, and village for all individuals over the age of 40 with  
172 podoconiosis within each *kebele*.<sup>23</sup> Every podoconiosis case over age 40 within each of the  
173 12 *kebeles* was invited to take part in the study. In total, 460 podoconiosis cases were  
174 identified. They were invited to participate in the study through both verbal information



175 via the *Kebele* Leader and a written letter of invitation asking them to attend a health centre  
176 on a particular date.

177

178 Neighbourhood controls matched by age (+/- 5 years), sex and village were selected  
179 randomly from a residents' register detailing each individual living within each village.  
180 Village leaders, Health Extension Workers and *kebele* leaders were able to locate each  
181 control selected. All were provided with information about the study and invited by letter  
182 to attend a health centre within their *kebele* on a particular date. Controls were excluded if  
183 they were under 40 years old, had podoconiosis on clinical examination, or had a first-  
184 degree relative with podoconiosis.

185

#### 186 **Measurement and Data collection**

187 The primary outcomes for participants with podoconiosis and healthy neighbourhood  
188 controls were: 1) presence of cataract 2) presence of TT.

189

190 Secondary outcomes were: grade of cataract, severity of TT, visual acuity, socio-  
191 demographic variables (age, sex, employment), wealth index, history of hypertension,  
192 history of diabetes, previous eye surgery, previous eye trauma, previous eye diagnosis,  
193 number of acute podoconiosis attacks and stage of podoconiosis.

194

195 Data were collected through an interview-administered questionnaire followed by clinical  
196 examination. Questionnaires were administered by trained Amharic-speaking data  
197 collectors. Visual acuity was tested using PEEK Visual Acuity on smartphones recorded on  
198 a LogMar scale.<sup>25</sup> LogMAR values were categorised according to the ICD-10 classification of  
199 visual impairment; normal vision,  $\leq 0.4$ ; mild impairment, 0.4-1.0; severe impairment, 1.0-

1.3; blindness  $\geq 1.3$ .<sup>26</sup> In this study we present only best unaided vision as no patients had spectacles for distance correction. Podoconiosis grading involved examination of both legs using a validated 5 stage grading system carried out by a local Podoconiosis Nurse Specialist.<sup>27</sup> An ophthalmic officer and a medical doctor carried out the clinical eye examinations. Tropicamide 1.0% mydriatic drops were administered to both eyes. Cataract was examined using a direct ophthalmoscope at 30cm from dilated pupils as slit lamp examination was unavailable. Cataract was classified into five grades according to Mehra and Minassian's method of grading in eye surveys using degree of opacity in the red reflex to define the grade.<sup>28</sup> Grades 4 and 5 were regarded as severe matured cataract requiring surgery. Both eyes were then examined using a torch and x2.5 magnifying binocular loop for signs of trachoma. Each eye was examined for in-turned lashes (TT), the cornea inspected for central corneal opacities (opacities within central 4mm) and the upper conjunctiva everted and examined for inflammation (Trachomatous Inflammation - Follicular (TF) and Trachomatous Inflammation - Intense (TI)) and scarring (Trachomatous Scarring (TS)). The WHO simplified trachoma grading system was used to define each of these stages.<sup>29</sup> TT was defined as one or more lashes touching the globe or evidence of eyelash epilation.<sup>17</sup> Severity of TT was recorded by counting the number of lashes touching the globe when looking straight ahead and subdivided into corneal lashes (touching cornea) or peripheral lashes (touching medial or lateral conjunctiva). TT severity was classified into two groups: major as  $>5$  peripheral or corneal lashes and minor as  $<6$  peripheral or corneal lashes. Patients were told the findings of the eye examination at the end of the study. Individuals with signs of active trachoma (TF and/or TI) were offered treatment with 1% tetracycline eye ointment. TT patients were referred to health centres where free TT surgery was available. Patients with grade 4 or 5 cataract were referred to Debre Markos Hospital for cataract surgery. All podoconiosis patients were counseled by

225 a Podoconiosis Specialist Nurse for podoconiosis management and enrolled into existing  
226 podoconiosis clinics.

227

## 228 **Statistical analysis**

229 Data were coded, entered, cleaned and analysed using IBM SPSS Statistics version 22.  
230 Descriptive analysis of the socio-demographic and clinical characteristics of cases and  
231 controls was performed. When comparing simple frequencies, the  $\chi^2$  test was used to  
232 establish significance. Means were compared using the independent t-test. Principal  
233 Components Analysis was used to reduce 15 wealth index factors (gained from the  
234 interview-administered questionnaire) into three household index values taking the first  
235 component as a measure of economic status divided into three categories: poor, middle  
236 class and wealthy.<sup>30,31</sup> A logistic regression model was used to determine the clinical and  
237 socio-demographic correlates of trachomatous trichiasis and cataract. The model was used  
238 to measure the association between podoconiosis and these two eye diseases (cataract and  
239 TT) adjusting for sex, age, occupation and socio-economic status. These confounding  
240 factors were chosen prior to data collection. They were chosen as factors likely to influence  
241 the association between podoconiosis and these two eye diseases based on previous  
242 literature.

243

## 244 **Ethical considerations**

245 Ethical approval was gained from Amhara Regional Health Bureau and the Research  
246 Governance & Ethics Committee of Brighton & Sussex Medical School. An Amharic-speaking  
247 study supervisor gave, to each of the participants, an introduction to the study and the  
248 reasons why it was being conducted. Then, all participants were given written information  
249 in Amharic outlining the reason for the study and what would be involved if they chose to

participate. If participants were unable to read or write, the information sheet was read to them individually. Informed consent was gained by signature and a thumbprint was used if the participant was unable to write.<sup>32</sup> The consent was then countersigned by an independent witness. Study participants identified with ocular disease or podoconiosis were managed as per local protocol. A podoconiosis nurse was present throughout the study to provide education regarding podoconiosis treatment and integration into existing clinics.

## **Results**

### *Socio-demographic characteristics*

A total of 700 participants were included in this study: 350 podoconiosis cases and 350 healthy neighbourhood controls. The socio-demographic characteristics of cases and controls are described in Table 1. More cases (60.3%) and controls (62.6%) were male than female. The mean age distribution between cases and controls was similar at 57 and 56 years respectively. The great majority of both cases and controls were rural farmers and married. However, compared to their neighbourhood controls, significantly larger numbers of podoconiosis cases were either divorced or widowed; 102 (29.2%) vs 56 (16.0%),  $p=0.001$ , and lived in poorer households; 146 (41.7%) vs 88 (25.1%);  $p<0.001$ .

### *Clinical characteristics*

Over half of all podoconiosis patients had a first-degree relative with podoconiosis and had experienced an acute attack in the past 30 days. The median stage of podoconiosis for both

275 legs was stage 2 (defined as persistent below knee swelling).<sup>27</sup> The clinical characteristics  
276 of cases and controls are described in Table 2. Few patients in either group had a history of  
277 hypertension and diabetes. Compared to the controls, a significantly higher proportion of  
278 podoconiosis cases had an ocular problem; 52 (14.9%) vs 86 (24.6%),  $p=0.001$ , and had  
279 had eye surgery; 49 (14.0%) vs 75 (21.4%);  $p=0.01$ . In particular, compared to controls, a  
280 higher proportion of podoconiosis cases had had TT surgery ( $p = 0.04$ ), a diagnosis of TT  
281 ( $p = 0.03$ ) or a diagnosis of cataract ( $p= 0.02$ ).

282 Podoconiosis patients were found to have significantly lower visual acuity than healthy  
283 controls. (Table 3). Mean best visual acuity was 0.59 (SD +/- 0.06) in podoconiosis cases  
284 compared to 0.44 (SD +/- 0.04) in controls,  $p=0.0001$ . The proportion of patients classified  
285 as blind was significantly higher in the podoconiosis group; 5.6% vs 2.0%; OR 2.97 (95%  
286 CI 1.24- 7.11),  $p=0.02$ . When adjusted for age, sex and socioeconomic status the association  
287 remained significant; adjusted OR 2.63 (1.08-6.39),  $p = 0.03$ .

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289

290 Table 1. Socio-demographic comparisons between cases and controls

Variable		Podoconiosis n=350 (%)	cases Healthy neighbourhood controls n=350 (%)	P value*
<b>Gender</b>	<i>Male</i>	211 (60.3)	219 (62.6)	0.51
	<i>Female</i>	138 (39.4)	131 (37.4)	
<b>Age</b>	<i>Mean (SD)</i>	57 (12.0)	56 (11.1)	0.16**
	<i>40-50</i>	126 (36.0)	130 (37.1)	0.31
	<i>51-60</i>	105 (30.0)	104 (29.7)	
	<i>61 - 70</i>	67 (19.1)	81 (23.1)	
	<i>71-80</i>	45 (12.9)	31 (8.9)	
	<i>81-90</i>	7 (2.0)	4 (1.1)	
<b>Occupation</b>	<i>Farmer</i>	335 (95.7)	345 (98.6)	0.18
	<i>Other</i>	15 (4.2)	5 (1.4)	
<b>Marital Status</b>	<i>Single</i>	7 (2.0)	3 (0.9)	0.0001
	<i>Married</i>	241 (68.9)	291 (83.1)	
	<i>Divorced</i>	45 (12.9)	23 (6.6)	
	<i>Widowed</i>	57 (16.3)	33 (9.4)	
<b>Socio-economic status***</b>	<i>Poor</i>	146 (41.7)	88 (25.1)	0.0001
	<i>Moderate</i>	113 (32.3)	127 (36.3)	
	<i>Wealthy</i>	91 (26.0)	135 (38.6)	
<b>Distance travelled to water (mins)</b>	<i>&lt;5</i>	257 (73.4)	277 (79.1)	0.19
	<i>5-10</i>	47 (13.4)	41 (11.7)	
	<i>10-20</i>	28 (8.0)	23 (6.6)	
	<i>&gt;20</i>	18 (5.1)	9 (2.6)	

291 \*P value was calculated using Chi-squared test.

292 \*\*P value was calculated using t test to compare difference in means.

293 \*\*\* Calculated using Principal Component Analysis to reduce 15 wealth index variables down to three  
294 categories of socio-economic status.

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302 Table 2. Clinical variables comparison between podoconiosis cases and controls

		Podoconiosis cases n=350 (%)	Healthy neighbourhood controls n=350 (%)	P value*
Diabetes	No	345 (98.6)	347 (99.1)	0.60
	Yes	4 (1.1)	3 (0.9)	
Hypertension	No	336 (96.0)	345 (98.6)	0.04
	Yes	14 (4.0)	5 (1.4)	
Previous eye surgery	No	275 (78.6)	301 (86.0)	0.01
	Yes	75 (21.4)	49 (14.0)	
		TTS	42 (12.0)	0.04
		Cataract	5 (1.4)	0.28
		Both	2 (0.6)	0.40
		Other	0 (0.0)	
Ocular problem	No	264 (75.4)	298 (52.0)	0.001
	Yes	86 (24.6)	52 (14.9)	
	Yes	TT and Cataract	5 (1.4)	0.76
		Cataract	6 (1.7)	
		TT	40 (11.4)	0.02
		Glaucoma	1 (0.3)	
		Refractive	0 (0.0)	
		Corneal Opacity	0 (0.0)	
		Other	0 (0.0)	

303 \*P value was calculated using Chi-squared test.

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309 Table 3: Clinical and socio-demographic correlates of blindness in East Gojam, Ethiopia

Variables	Best Visual Acuity		OR	AOR**
	Not blind (BVA >1.3)†	Blind (BVA <1.3)†		
Podoconiosis status				
Patient	331	19	2.97 (1.24-7.11)*	2.63 (1.08-6.39)*

Healthy control	343	7	1	1
<i>Age</i>			0.94 (0.90-0.97)*	0.93 (0.90-0.97)*
<i>Sex</i>				
Female	251	18	3.80 (1.63-8.85)*	4.38 (1.87 – 10.23)*
Male	423	8	1	1
<i>Wealth index***</i>				
Poor	204	20	0.08 (0.20-0.38)*	0.17 (0.04-0.78)*
Moderate	238	4	0.56 (0.93-2.84)	0.62 (0.11-3.47)
Wealthy	231	3	1	1
<i>Occupation</i>				
Farmer	653	25	1.24 (0.161-9.61)	1.29 (0.15-10.92)
Other	21	1	1	1

¶Blindness defined according to ICD-10 classification of visual impairment based on LogMAR visual acuity

\*Statistically significant ( $p < 0.05$ )

\*\* Binary logistic regression controlling for podoconiosis group, age, sex, occupation, wealth and distance from water.

\*\*\* Calculated using Principal Component Analysis to reduce 15 wealth index variables down to three categories of socio-economic status.

#### *TT and cataract prevalence among cases and controls*

The prevalence of TT was higher in cases (65, 18.6%) than controls (43, 12.3%); OR 1.63; (95% CI 1.07-2.47),  $p = 0.02$ . 13 out of 65 (20%) cases had major trichiasis compared to 5 out of 43 (11%) of healthy controls ( $p = 0.16$ ). The odds of having TT remain significantly greater for individuals with podoconiosis after adjustment for age, sex, occupation and wealth; adjusted OR 1.55 (95% CI 1.0 – 2.0),  $p = 0.05$ . Female patients had greater odds of TT compared to male patients OR 1.58 (95% CI 1.38-1.77),  $p = 0.0001$ .



No significant difference in cataract prevalence was found between the two groups; 272 (77.7%) vs 286, (81.7%), OR, 0.86; (95% CI, 0.59-1.25), p=0.422. However, podoconiosis cases were shown to have more severe cataract. The number of patients with grade 4 or 5 cataract in either eye or both was 37 (10.6%) for cases vs 29 (8.6%) for controls (p=0.01). Many more eyes in the podoconiosis case group could not be examined due to severe corneal opacity or phthisis; 43 out 700 (6.1 %) compared with 14 out of 700 (2%). The odds of having cataract were not affected by the presence of podoconiosis; adjusted OR 0.83 (95% CI 0.55-1.25) p=0.37, and only significantly associated with age with an adjusted odds ratio of 1.07 (95 % CI 1.05-1.09), p=0.0001 (Table 5).

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**Table 4** Clinical and socio-demographic correlates of any TT in East Gojam, Ethiopia

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Variables	Any TT n=700		OR	AOR**
	Yes	No		
<i>Podoconiosis status</i>				
Patient	65	285	1.63 (1.07 – 2.47)*	1.55 (1.01-2.02)*
Healthy control	43	207	1	1
<i>Age</i>			0.99 (0.96-1.01)	1.01 (0.90-1.03)

<i>Sex</i>				
Male	48	382	0.45 (0.30-0.70)*	0.42 (0.27-0.66)*
Female	60	210	1	1
<i>Wealth index***</i>				
Poor	45	189	0.56 (0.33-0.93)*	0.96 (0.61-1.61)
Moderate	31	209	1.04 (0.60-1.82)	0.82 (0.45-1.4)
Wealthy	32	194		1
<i>Occupation</i>				
Farmer	104	576	0.55 (0.20-1.53)	0.90 (0.29-2.86)
Other	4	16	1	1
<i>Distance from water</i>				
<5 mins	78	456	0.76 (0.47-1.22)	0.70 (0.44-1.12)
>5 mins	30	136	1	1

• Statistically significant ( $P<0.05$ )

• \*\* Binary logistic regression controlling for podoconiosis group, age, sex, occupation, wealth and distance from water.

• \*\*\* Calculated using Principal Component Analysis to reduce 15 wealth index variables down to three categories of socio-economic status.

• \*\*

Table 5: Clinical and socio-demographic correlates of any Cataract in East Gojam, Ethiopia

Variables	Any Cataract n=693 <sup>¶</sup>		OR	AOR**
	Yes	No		
<i>Podoconiosis status</i>				
Patient	272	71	0.86 (0.59-1.26)	0.83 (0.55-1.25)
Healthy control	286	64	1	1
<i>Age</i>			1.07 (1.05 – 1.09)*	1.07 (1.05-1.09)*
<i>Sex</i>				
Male	340	86	0.88 (0.60 -1.3)	0.76 (0.50-1.16)
Female	218	49	1	1
<i>Wealth index***</i>				
Poor	195	34	1.81 (1.10-3.00)	1.37 (0.80-2.33)
Moderate	184	54	1.06 (0.70 – 1.64)	0.87 (0.54-1.38)
Wealthy	179	47	1	1
<i>Occupation</i>				
Farmer	547	126	3.28 (1.35-7.94)	2.93 (1.14-7.79)
Other	11	9	1	1

- *Statistically significant (P<0.05)*
- \*\* Binary logistic regression controlling for podoconiosis group, age, sex, occupation, wealth and distance from water.
- \*\*\* Calculated using Principal Component Analysis to reduce 15 wealth index variables down to three categories of socio-economic status.
- ¶ Seven participants could not have cataract examinations in either eye due to severe corneal opacities bilaterally
-

406 *Trachomatous inflammation, scarring and corneal opacity between groups*

407

408 Podoconiosis patients were found to have a significantly higher prevalence of  
409 trachomatous inflammation, trachomatous scarring and corneal opacity than healthy  
410 controls ( $p \leq 0.001$  for each).

411

412

### 413 **Discussion**

414 The study found that podoconiosis patients have worse visual acuity than healthy  
415 neighbourhood controls, with many more podoconiosis patients classified as blind. The  
416 prevalence of TT causing low vision through corneal opacification is higher in podoconiosis  
417 patients, creating a double burden of neglected tropical disease in this population. No  
418 significant difference in the prevalence of cataract was observed between podoconiosis  
419 patients and controls, however a higher number of podoconiosis cases had dense cataract  
420 (grade 4 or 5) and previous cataract surgery when compared to their neighbourhood  
421 controls.

422

423 NTDs commonly overlap within a population. Indeed, 80 million people in Ethiopia live in  
424 areas where one or more NTDs co-exist. Mapping of NTDs in Ethiopia has shown much  
425 geographical overlap between podoconiosis and other NTDs. For example nationwide  
426 mapping has shown that 29 districts in Ethiopia are co-endemic for LF and podoconiosis,  
427 116 co-endemic for onchocerciasis and podoconiosis, 302 co-endemic for trachoma and  
428 podoconiosis, 342 co-endemic for SHT and podoconiosis. However, little is known  
429 regarding the overlap of NTDs within individuals. One study showed an overlap between  
430 Soil Transmitted Helminth (STH) infection and podoconiosis. The authors concluded that

431 this was likely to be a result of barefoot practices predisposing the individual to both  
432 diseases, rather than a shared biological mechanism. The association between the two  
433 NTDs podoconiosis and TT can be hypothesised to be the result of both shared  
434 environmental risk factors and a common biological pathology.

435

436

437 It is known that NTDs often co-exist within a population due to shared environmental risk  
438 factors such as sanitation, hygiene, poverty and access to health care.<sup>2,33</sup> The management  
439 of both trachoma and podoconiosis share common hygiene messages; for example,  
440 trachoma elimination programmes have focused on promoting facial cleanliness, while  
441 podoconiosis programmes educate patients about regular foot washing practices.<sup>35,16</sup> Both  
442 diseases are associated with reduced availability of water, sanitation and hygiene (WASH)  
443 facilities.<sup>34</sup> Similarly, both diseases have been linked to poverty.<sup>36, 14</sup> In this study  
444 podoconiosis patients were found to be significantly poorer than their neighbourhood  
445 controls, as we would expect from previous studies.<sup>35</sup> Likewise, trachoma is widely  
446 considered a disease of poverty.<sup>36</sup>

447

448 Podoconiosis has a social impact and this in turn may lead to an increased burden of eye  
449 disease, independent of an association with trachoma.<sup>11</sup> Alongside economic poverty,  
450 individuals with podoconiosis are marginalised and stigmatised within their societies,  
451 leading to reduced living standards in comparison to their healthy neighbours.<sup>11,14</sup>  
452 Marginalisation within society alongside poor living standards among individuals living  
453 with podoconiosis may predispose to eye disease and reduced visual acuity through  
454 affecting health seeking behaviour, attendance for surgical procedures and disease  
455 prevention awareness.

456

457 Alongside an environmental and socio-economic hypothesis, it is possible that the  
458 association between podoconiosis and TT is also a result of shared biological pathology in  
459 these two chronic, scarring inflammatory diseases<sup>40, 41</sup>. Previous research investigating  
460 pro-inflammatory and pro-fibrotic markers in serum and HLA associations in these two  
461 diseases could provide some insight into a potential shared pathology.<sup>51,52</sup>To date, very  
462 few studies have investigated the systemic effects of podoconiosis. Bilateral leg  
463 lymphoedema is thought to be caused by elements common in irritant volcanic soils (eg.  
464 aluminium, silicon, magnesium and iron) being absorbed through the foot and entering  
465 lower limb lymph nodes.<sup>37,7,10,38,39</sup> While it is the foot which facilitates dermal absorption,  
466 it is possible that these irritant elements cause wider systemic inflammatory effects.  
467 Addisu et al<sup>40</sup> compared levels of oxidative stress biomarkers in podoconiosis patients  
468 and healthy controls and found higher levels of these biomarkers in the serum of  
469 podoconiosis patients, suggesting inflammation in the early stage of the disease. Burton  
470 et al studied progressive trachomatous conjunctival scarring in an Ethiopian and  
471 Tanzanian cohort. They found that scarring progressed over a two year period in the  
472 absence of *C. trachomatis*.<sup>41</sup> Progressive scarring was associated with mucosal  
473 inflammation with an increased association in individuals with more frequent  
474 inflammatory episodes. This chronic conjunctival inflammation was associated with  
475 increased expression of pro-inflammatory factors and extracellular matrix regulators  
476 systemically including the pro-fibrotic factor CTGF, closely associated with TGF $\beta$ . Both  
477 podoconiosis and progressive trachomatous scarring have been associated with altered  
478 levels of pro-inflammatory factors in the serum. It is possible that systemic inflammation  
479 in podoconiosis patients could provide an initial hypothesis to explain the increased levels  
480 of trachomatous inflammation, trachomatous scarring and trachomatous trichiasis

481 among podoconiosis cases. Further studies viewing podoconiosis as a systemic disease  
482 are required to investigate further potential effects of chronic systemic inflammation in  
483 these patients.

484

485 Many individuals who come into contact with irritant volcanic soils do not go on to develop  
486 podoconiosis.<sup>42,43</sup> Similarly, the natural history of trachoma varies significantly in  
487 prevalence and severity between families and communities with shared environmental  
488 risk factors.<sup>44</sup> The gene-environment interaction for both these diseases has been  
489 investigated, including the frequency of HLA antigens in both podoconiosis and blinding  
490 trachoma. Both podoconiosis and trachoma are associated with HLA Class II suggesting  
491 both are T cell mediated inflammatory diseases.<sup>8, 45</sup> A shared pathogenesis associated with  
492 HLA Class II may account for some of the association between these two NTDs.

493

494

495 Both podoconiosis and TT have been shown to worsen poverty, reduce quality of life and  
496 increase the burden of disability in predominately poor rural communities.<sup>46,47,48,49,50</sup>  
497 Understanding that individuals can be burdened with both diseases could reduce the  
498 disease-specific approach to management and lead to an integrated management of these  
499 two NTDs at different levels. Firstly, the screening and diagnosis of these diseases could  
500 combine using the same community based health extension worker led household  
501 screening that is seen in trichiasis screening in Amhara region, Ethiopia. Both are highly  
502 visible diseases making community based screening very effective. Secondly, integrated  
503 treatment approaches could focus on common hygiene messages among the two groups  
504 and a focus on the improvement of WASH facilities, a key element of NTD management  
505 projects globally.<sup>34</sup>

506

507 A key limitation of this study is the absence of a detailed slit lamp ophthalmic examination  
508 of the anterior segment and retina of the eye. While we found that TT is more common in  
509 this population, we were unable to study the prevalence of other eye diseases that could  
510 also account for the reduced vision in podoconiosis patients. Examining for cataract using  
511 direct ophthalmoscopy rather than slit lamp risks missing certain types of cataract, in  
512 particular nuclear cataract. A further limitation is the possibility of selection bias. While  
513 460 podoconiosis patients were invited to take part, there was a high attrition rate before  
514 enrolment in the study with only 350 enrolling. Those with more disabling podoconiosis or  
515 worse vision may have been less likely to attend the local health facilities due to difficulties  
516 of travel.

517

518

## 519 **Conclusions**

520 We conclude that podoconiosis patients have a greater burden of visual impairment than  
521 individuals living in the same neighbourhood without the disease. They are more likely to  
522 suffer from TT, and other stages of trachoma. Podoconiosis patients are poorer than their  
523 neighbours without the disease, but this alone may not be enough to account for this  
524 association and the significant difference in their burden of poor vision and blindness.  
525 Alongside shared environmental risk factors, shared biological mechanisms between these  
526 two NTDs, podoconiosis and TT, may contribute to the association that has been found and  
527 warrants further research to gain a better understanding of their co-endemicity. In  
528 particular, a focus in the future of studying and managing these two diseases together may  
529 help to reduce their burden in this northern Ethiopian population and farther afield.

530



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